

JAN - 7 2013

UNITED STATES BANKRUPTCY COURT  
Southern District of New York

In re Lehman Brothers Holdings, Inc.,

Case No. 08-13555 (JMP)

**PARTIAL TRANSFER OF CLAIM OTHER THAN FOR SECURITY**

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this evidence and notice.

Name of Transferee: **BSI SA**

Name of Transferor: **RBS Coutts Bank AG**

Name and Address where notices to  
transferee should be sent:

**BSI SA**

**Attn. Mr. Andrea Ferrari – Custody Administration**

**Via Magatti 2**

**6900 Lugano, Switzerland**

Court Claim #: **45221**

Amount of Claim: Note: This is a partial  
transfer of claim. See  
attached Evidence of  
Transfer of Claim for  
Details

Date Claim Filed: 10/23/2009

Phone: Phone: +41 80)58 8083373

Phone: +41 43 245'57'67

Email: [group.bsicustodyadministration@bsibank.com](mailto:group.bsicustodyadministration@bsibank.com)

Email: [hans-peter.schmid@coutts.com](mailto:hans-peter.schmid@coutts.com)

Last Four Digits of Acct #:

Last Four Digits of Acct. #: n/a

Name and Address where transferee payments  
should be sent (if different from above):

**CITIBANK NEW YORK**

**SWIFT address: CITIUS33**

**Account nr. 10938561**

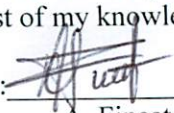
**In the name of BSI SA**

**Attn. Andrea Ferrari**

**Ref: Lehman**

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

By:

  
A. Fincato

  
A. Ferrari

BSI SA as Transferee

Date: November 20<sup>th</sup> 2012

JAN - 7 2013

## **EVIDENCE OF TRANSFER OF CLAIM**

### **TO: THE DEBTOR AND THE BANKRUPTCY COURT**

For value received, the adequacy and sufficiency of which are hereby acknowledged, **RBS Coutts Bank AG** ("Transferor") unconditionally and irrevocably transferred to **BSI SA, Lugano** ("Transferee") all of its right, title, interest, claims and causes of action in and to or arising under or in connection with the portion of its claim (**Claim No. 45221**) relating to the securities with International Securities Identification Numbers listed on Schedule I hereto against Lehman Brothers Holdings, Inc. (the "Debtor"), Chapter 11 Case No.: 08-13555 (JMP) (Jointly Administered), United States Bankruptcy Court for the Southern District of New York (the "Bankruptcy Court").

Transferor hereby waives any objection to the transfer of the claim to the Transferee on the books and records of the Debtor and the Bankruptcy Court and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Transferor acknowledges and understands, and hereby stipulates, that an order of the Bankruptcy Court may be entered without further notice to the Transferor regarding the transfer of the foregoing claim and recognizing the Transferee as the sole owner and holder of the claim. Transferor further directs the Debtor, the Bankruptcy Court and all other interested parties that all further notices relating to the claim, and all payments or distributions of money or property in respect of claim, shall be delivered or made to the Transferee.

IN WITNESS WHEREOF, this EVIDENCE OF TRANSFER OF  
CLAIM IS EXECUTED on 12 October 2012

RBS Coutts Bank AG

By:   
Name: Erich Vogel  
Title: Vice President

By:   
Name: Hans-Peter Schmid  
Title: Director

SCHEDULE I

Lehman Programs Securities related to Transferred Portion of Claim:

ISIN	Court Claim #	Date Claim filed	Issuer	Number of Units or Currency and Nominal Amount
XS0274985828	45221	October 23, 2009	Lehman Brothers Securities NV	USD 100'000.00



Epiq Bankruptcy Solutions, LLC  
Attn: Lehman Brothers Holdings Inc.  
757 Third Avenue, 3rd Floor  
New York, New York 10017  
USA

Ref.  
no.

AF/LBHI/003

Lugano

28<sup>th</sup> December 2012

Notice of partial transfer from RBS Coutts Bank AG (the "Transferor") to BSI SA on behalf of its client/s (the "Transferee") of securities issued by Lehman Brothers Securities NV (the "Securities") and the relevant claim pursuant to Proof of Claim n. 45221 (the "Claim")

Dear Sirs,

A) Reference is made to the transfer of the above mentioned Securities and Claim (the "Transfer") to our bank as nominee on behalf of its client/s.

In order to duly notify you of the Transfer, please find here attached the following documents, so that you can kindly proceed and amend the Claim Register accordingly:

- 1) Form 210/A, signed by BSI SA as Transferee on behalf of its client/s;
- 2) "*Evidence of Transfer of Claim*", signed by RBS Coutts Bank AG., as Transferor, as a proof that RBS Coutts Bank AG has transferred a registered claim to BSI SA (on behalf of its client/s).

Should you need any clarification, please do not hesitate to contact us at [group.bsicustodyadministration@bsibank.com](mailto:group.bsicustodyadministration@bsibank.com).

Thank you very much in advance for your kind attention.

Yours faithfully,

  
pp A. Ferrari

BSI SA

  
P. Solari

Express Worldwide  
Membership



From BSI SA  
+41918093111  
VIA FRANSCHINI 8  
6900 LUGANO  
CH SWITZERLAND

Origin  
LUG

To  
EPIQ BANKRUPTCY SOLUTIONS LLC  
ATT. LEHMAN BROTHERS HOLDINGS  
CLAIM PROCESSING  
757 THIRD AVENUE  
3RD FLOOR  
10017 NEW YORK NEW YORK  
US UNITED STATES OF AMERICA

Phone:

US-ZYP-TSS

Day Time

Ref code 2010009-83373-81749 Piece Weight: 0.5 kg  
Account No 150044796 Pickup date: 2013-01-02

Piece  
1/1

Content / Commerce Control Statement / RC

document  
Service : DAP Customs Value : 0.00 CHF  
Imp/Exp Type : permanent IV : 0.00 CHF



WAYBILL 50 3549 9055



(2L)US10017+42000000



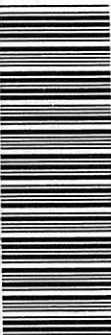
(J)D01 4452 8274 7009 6811



(2L)US10017+42000000



WAYBILL 50 3549 9055



Content  
description:

Account No. 150044796  
Ref code 2010009-83373-81749

Date: Pos / Ship Weight Piece  
0.50 / 0.50 KG 1/1

EXPRESS WORLDWIDE  
10017 NEW YORK, United States  
JFK US-ZYP-TSS

Day Time

EXPRESS WORLDWIDE

DOX

DHL

Origin:  
LUG

www.dhl.com